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PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000, omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

|  |                      |                        |              |
|--|----------------------|------------------------|--------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 08/855,061             |              |
|  | Filing Date          | 05/13/97               |              |
|  | First Named Inventor | S.D. Augustine et al   |              |
|  | Examiner Name        | Graham                 |              |
|  | Group Art Unit       | 3304                   |              |
| Total Number of Pages in This Submission   | 5                    | Attorney Docket Number | AUGA01000012 |

**ENCLOSURES (check all that apply)**

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers<br>(for an Application)                      | <input type="checkbox"/> After Allowance Communication to Group  |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                      |
| <input type="checkbox"/> Amendment/Response                                  | <input type="checkbox"/> Licensing-related Papers                                       | <input checked="" type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Checklist and Accompanying Petition                   | <input type="checkbox"/> Proprietary Information   |
| <input checked="" type="checkbox"/> Extension of Time Request                | <input type="checkbox"/> To Convert a Provisional Application                           | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s)<br>(please identify below):                  |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Terminal Disclaimer  | <input type="checkbox"/> POSTCARD  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              |   | <input type="checkbox"/> AIR MAIL  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |   | <input type="checkbox"/> 9/18 OCT 2011   |
| <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53 |   |  |

**Remarks:**

RECEIVED

OCT 28 1998  
TECHNOLOGY CENTER 9700

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                               |   |
|-------------------------------|---|
| Firm<br>or<br>Individual Name | Terrance A. Meador<br>Reg. No. 30,298<br>GRAY CARY WARE & FREIDENRICH |
| Signature                     | <i>Terrance A. Meador</i>   |
| Date                          | 20 October 1998   |

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 20 Oct 2019 98

|                       |                    |      |                 |
|-----------------------|--------------------|------|-----------------|
| Typed or printed name | Terrance A. Meador |      |                 |
| Signature             | Terrance A. Meador | Date | 20 October 1998 |

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PTO/SB/17 REV 1 (12/97)

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## FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments **must** be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 C.F.R. §§ 1.27 and 1.28

Complete If Known

|                      |                      |
|----------------------|----------------------|
| Application Number   | 08/855,061           |
| Filing Date          | 05/13/97             |
| First Named Inventor | S.D. Augustine et al |
| Examiner Name        | Graham               |
| Group / Art Unit     | 3304                 |
| Attorney Docket No.  | AUGA01000012         |

**TOTAL AMOUNT OF PAYMENT** (\$ 265.00)

### METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 07-1895  
Deposit Account Name GRAY CARY WARE & FREIDENRICH

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
\_\_\_\_ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance.  
37 CFR 1.311(b)

Payment Enclosed:

Check  Money Order  Other

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity Fee<br>Code (\$) | Small Entity Fee<br>Code (\$) | Fee Description  | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 105 130                       | 205 65                        | Surcharge - late filing fee or oath  |          |
| 127 50                        | 227 25                        | Surcharge - late provisional filing or cover sheet                         |          |
| 139 130                       | 139 130                       | Non-English specification  |          |
| 147 2,520                     | 147 2,520                     | For filing a request for reexamination                                     |          |
| 112 920*                      | 112 920*                      | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                    | 113 1,840*                    | Requesting publication of SIR after Examiner action                        |          |
| 115 110                       | 215 55                        | Extension for response within first month                                  |          |
| 116 400                       | 216 200                       | Extension for response within second month                                 |          |
| 117 950                       | 217 475                       | Extension for response within third month                                  |          |
| 118 1,510                     | 218 755                       | Extension for response within fourth month                                 |          |
| 128 2,060                     | 228 1,030                     | Extension for response within fifth month                                  |          |
| 119 310                       | 219 155                       | Notice of Appeal   |          |
| 120 310                       | 220 155                       | Filing a brief in support of an appeal                                     |          |
| 121 270                       | 221 135                       | Request for oral hearing   |          |
| 138 1,510                     | 138 1,510                     | Petition to institute a public use proceeding                              |          |
| 140 110                       | 240 55                        | Petition to revive unavoidably abandoned application                       |          |
| 141 1,320                     | 241 660                       | Petition to revive unintentionally abandoned application                   |          |
| 142 1,320                     | 242 660                       | Utility issue fee (or reissue)   |          |
| 143 450                       | 243 225                       | Design issue fee   |          |
| 144 670                       | 244 335                       | Plant issue fee  |          |
| 122 130                       | 122 130                       | Petitions to the Commissioner  |          |
| 123 50                        | 123 50                        | Petitions related to provisional applications                              |          |
| 126 240                       | 126 240                       | Submission of Information Disclosure Stmt                                  |          |
| 581 40                        | 581 40                        | Recording each patent assignment per property (times number of properties) |          |
| 146 790                       | 246 395                       | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149 790                       | 249 395                       | For each additional invention to be examined (37 CFR 1.129(b))             |          |

Other fee (specify) \_\_\_\_\_  
Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) (\$ 265.00)

\*Reduced by Basic Filing Fee Paid

### SUBMITTED BY

Typed or Printed Name

Terrance A. Meador

COMPLETE (if applicable)

Reg. Number

30,298

Signature

*Terrance A. Meador*

20 October 1998  
Date

Deposit Account  
User ID